PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau





INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51)	International Patent Classification: A61K 9/00	A1	, ,	tional Publication Numb	ver: WO 00/09087 24 February 2000 (24.02.2000)
(21)	International Application Number: PCT/US99/18522			Published	
(22)	International Filing Date: 13 August 19	999 (13.08.1999)	T aprioriou	
(30)	Priority Data: 09/134,748 14 August 1998 (14.08.1998) US			·	
(60)	Parent Application or Grant INCEPT LLC [/]; (). SAWHNEY, Amarpr (). JACKSON, Robert, R.; ().	eet, S	. [/];		

- (54) Title: METHODS FOR FORMING REGIONAL TISSUE ADHERENT BARRIERS AND DRUG DELIVERY SYSTEMS
- (54) Titre: METHODES DE FORMATION DE BARRIERES ADHERENTES AUX TISSUS DANS DES ZONES DONNEES ET SYSTEMES D'ADMINISTRATION DE MEDICAMENTS

(57) Abstract

Methods are provided for forming hydrogel barriers in situ that adhere to tissue and prevent the formation of post-surgical adhesions or deliver drugs or other therapeutic agents to a body cavity. The hydrogels are cross-linked, resorb or degrade over a period of time, and may be formed by free radical polymerization initiated by a redox system or thermal initiation, or electrophilic-neutrophilic mechanism, wherein two components of an initiating system are simultaneously or sequentially poured into a body cavity to obtain widespread dispersal and coating of all or most visceral organs within that cavity prior to gelation and polymerization of the regional barrier. The hydrogel materials are selected to have a low stress at break in tension or torsion, and so as to have a close to equilibrium hydration level when formed.

(57) Abrégé

L'invention concerne des méthodes de formation de barrières d'hydrogel in situ adhérant aux tissus et empêchant la formation d'adhérences post-chirurgicales ou libérant des médicaments ou d'autres agents thérapeutiques dans une cavité corporelle. Les hydrogels sont réticulés, se résorbent ou se dégradent après une période déterminée et peuvent être formés par polymérisation de radicaux libres initiée par un système d'oxydoréduction ou une initiation thermique ou un mécanisme électrophile-neutrophile; on introduit simultanément ou séquentiellement deux composants d'un système d'initiation dans une cavité corporelle pour obtenir une dispersion et un revêtement généralisés sur tous les organes viscéraux ou sur la plupart des organes à l'intérieur de cette cavité avant la gélification et la polymérisation de la barrière de zone. Les matières d'hydrogel sont sélectionnées de manière à présenter une faible contrainte de rupture à la tension ou à la torsion, et donc de manière à posséder un niveau d'hydratation proche de l'équilibre à leur formation.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLIS	HED U	UNDER THE PATENT COOPERATION TREATY (PCT)		
(51) International Patent Classification 6:		(11) International Publication Number: WO 00/09087		
A61K 9/00	A1	(43) International Publication Date: 24 February 2000 (24.02.00)		
(21) International Application Number: PCT/US (22) International Filing Date: 13 August 1999 (CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,		
(30) Priority Data: 09/134,748 14 August 1998 (14.08.98)	τ	Published With international search report.		
(71) Applicant: INCEPT LLC [US/US]; 308 Greenfield F Mateo, CA 94403 (US).	Road, S	an		
(72) Inventor: SAWHNEY, Amarpreet, S.; 164 Sprin Bedford, MA 01730 (US).	d,			
	(74) Agents: JACKSON, Robert, R. et al.; Fish & Neave, 1251 Avenue of the Americas, New York, NY 10020 (US).			
(54) Title: METHODS FOR FORMING REGIONAL TIS	SSUE A	ADHERENT BARRIERS AND DRUG DELIVERY SYSTEMS		
(57) Abstract				
Methods are provided for forming hydrogel barriers in situ that adhere to tissue and prevent the formation of post-surgical adhesions or deliver drugs or other therapeutic agents to a body cavity. The hydrogels are cross-linked, resorb or degrade over a period of time, and may be formed by free radical polymerization initiated by a redox system or thermal initiation, or electrophilic-neutrophilic mechanism, wherein two components of an initiating sytem are simultaneously or sequentially poured into a body cavity to obtain widespread dispersal and coating of all or most visceral organs within that cavity prior to gelation and polymerization of the regional barrier. The hydrogel materials are selected to have a low stress at break in tension or torsion, and so as to have a close to equilibrium hydration level when formed.				
		·		
i				

${\it FOR\ THE\ PURPOSES\ OF\ INFORMATION\ ONLY}$

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
DA	Bosnia and Herzegovina	GB	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belglum	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Feso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	П	Italy	MX	Mexico	UZ	Uzbekistan
CIP .	Central African Republic	JP	Japan	NE	Niger	VN	Vict Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
α	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	u	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
BE	Estonia	LR	Liberia	SG	Singapore		

D scription

PCT/US99/18522 WO 00/09087

5

10

15

METHODS FOR FORMING REGIONAL TISSUE ADHERENT BARRIERS AND DRUG DELIVERY SYSTEMS

20

5 Field Of The Invention

25

The present invention relates to methods of forming polymeric barriers to prevent post-surgical tissue adhesion and the use of such barriers to deliver drugs.

30

10 Background Of The Invention

35

involving organs of the peritoneal cavity and the peritoneal wall is a frequent and undesirable result of abdominal surgery. Surgical trauma to the tissue

The formation of post-surgical adhesions

40

15 caused by handling and drying results in release of a serosanguinous (proteinaceous) exudate that tends to collect in the pelvic cavity. If the exudate is not absorbed or lysed within a short time following the surgery, it becomes ingrown with fibroblasts.

45

20 Subsequent collagen deposition leads to adhesion formation.

50

Numerous previously known methods have been developed to attempt to eliminate adhesion formation, but with limited success. Such methods include lavage

SUBSTITUTE SHEET (RULE 26)

10

15

20

25

15

30

30

35

40

45

50

of the peritoneal cavity, administration of pharmacological agents, and the application of barriers to mechanically separate tissues. For example, Boyers et al., "Reduction of postoperative pelvic adhesions in 5 the rabbit with Gore-Tex surgical membrane," Fertil. Steril., 49:1066 (1988), describes the use GORE-TEX® (a registered trademark of W.L. Gore & Assocs., Inc., Newark, DE), expanded PTFE surgical membranes to prevent adhesions. Holtz, "Prevention and management 10 of peritoneal adhesions," Fertil. Steril., 41:497-507 (1984) provides a general review of adhesion prevention. None of the methods described in those articles has been cost effective and efficacious in in vivo studies.

Most adhesion prevention strategies have focused on either pharmacological approaches or barrier approaches. Pharmacological approaches have mainly relied on the local instillation of drugs such as antiinflammatory or fibrinolytic compounds. The 20 advantage of the pharmacological approach is that the drugs can have not only a local but also a regional effect. The regional effect is particularly useful because, although latrogenic injury is associated with adhesion formation, it is often difficult to predict 25 all of the sites that may have been traumatized or exposed to ischemia during surgery. For example, during open surgical procedures, tissue often may be

handling. The word "local" as used herein is meant to connote a specific site on a tissue or organ surface, which for example is felt to be at risk for adhesion formation. The term "regional" as used herein, is meant to connote the general cavity or space within

subjected to long periods of desiccation and surgical

10

15

20

25

15

20

30

30

35

40

45

50

which any of several organs are at risk for adhesion formation, but where it is for example, difficult to predict all the sites where such adhesions may form.

Instillation of drugs in regional spaces, 5 such as the peritoneal cavity, has been widely adopted for the prevention of post-surgical adhesions. Unfortunately, most drugs administered in this fashion have a limited residence time at the site of instillation and are rapidly cleared. Also, delivery 10 problems attributable to ischemia may reduce the effectiveness of the drugs. In addition, adhesions may develop not only due to surgical insults, but also due to a variety of pathologies and etiologies that may not be addressed using a pharmacological approach.

In view of the foregoing, it would be desirable to provide methods of preventing postsurgical tissue adhesion that overcome the drawbacks of previously known methods while providing the regional benefits obtained from pharmacological approaches.

Previously known barrier methods rely on the ability to interpose an inert or absorbable material in between organs at risk of formation of adhesions. A variety of materials have been used as barriers, including pentapeptides or elastin, trypsin treated 25 gamma-irradiated amniotic membranes, polyesterurethanepolydimethylsiloxane, carboxymethylcellulose sponge, collagen etc. These previously known materials, however, have been used primarily in academic contexts and have not been developed as commercial products.

Commercially available local barriers, such as sold under the name INTERCEED m , a registered trademark of Johnson and Johnson, Inc., New Brunswick, NJ, SEPRAFILM, Genzyme Corp., Cambridge, MA and REPEL under development by Life Medical Corp., Edison, NJ,

- 4 -

5

10

15

20

25

30

35

40

45

50

rely on interposing a barrier material that is absorbed within a 28 day period to reduce adhesion formation.

These barriers, however, may have limited efficacy due to migration of the barriers from a local implantation site. Moreover, these barriers do not provide the regional effect observed with pharmacological barriers.

Barriers that may be applied as a liquid also have been used, such as hyaluronic acid based products

such as SEPRACOAT*, marketed by Genzyme Corp.,

10 Cambridge, MA. U.S. Patent No. 5,140,016 to Goldberg et al. describes a method and composition for preventing surgical adhesions using a dilute solution of a hydrophilic polymer such as hyaluronic acid. U.S. Patent No. 5,190,759 to Lindblad et al. describes a composition and method for prevention of adhesions

using solutions containing dextran and hyaluronic acid.

These liquid barriers are rapidly cleared from a body cavity after instillation and thus may not be effective in preventing adhesions. Instead, such compositions

20 are more effective as tissue protecting solutions

during surgery rather than for the prevention of postsurgical adhesions.

Previously known attempts to prolong the residence of flowable barriers have attempted to form lightly crosslinked liquid barriers that still retain their flow characteristics. Thus, for example, LUBRICOAT, available from Lifecore Biomedical Inc., Chaska, MN, is a ferric hyaluronate crosslinked slurry considered for adhesion prevention. This material has been found to have only limited efficacy, however, because the barrier tends to migrate from the application site. Thus, tissues that naturally appose

each other still form adhesions.

WO 00/09087 PCT/US99/18522

- 5 -

Other natural and synthetic polymers also have been considered to prevent adhesion formation.

U.S. Patent No. 5,605,938 to Roufa et al. describes methods and compositions for inhibiting cell invasion

and fibrosis using dextran sulfate. The patent teaches that anionic polymers effectively inhibit invasion of cells associated with detrimental healing processes.

The materials described, however, are not covalently polymerized, do not have mechanical integrity and do not bind to tissue. Such materials also may interfere with normal wound healing during the postoperative period.

Hydrogels are materials which absorb solvents (such as water), undergo rapid swelling without

15 discernible dissolution, and maintain three-dimensional networks capable of reversible deformation. Because of their high water content and biocompatibility, hydrogels have been proposed for use as barriers for adhesion prevention.

U.S. Patent No. 4,994,277 to Higham et al. 20 describes the use of xanthan gum for preventing adhesions, wherein the hydrogel is more viscous than blood and is soluble in aqueous solutions. The water solubility of that gel system, however, enhances 25 clearing and migration of the barrier. U.S. Patent No. 4,911,926 to Henry et al. describes a method and composition for reducing post-surgical adhesions using aqueous and non-aqueous compositions comprising a polyoxyalkylene block copolymer. The resulting 30 thermoreversible gels are not covalently crosslinked and have no mechanical integrity, thus making the barrier readily susceptible to displacement from the application site. The foregoing materials have shown limited efficacy in clinical trials.

5

10

15

20

25

30

35

40

45

- 6 -

5 U.S. Patent No. 5,126,141 to Henry describes a composition and method for post-surgical adhesion reduction with thermo-irreversible gels of polyoxyalkylene polymers and ionic polysaccharides. 10 5 These aqueous gels are rendered thermally irreversible upon contact with a counter-ion. A serious drawback of such systems is the biodegradability and absorbability of such barriers. Because there is no clear mechanism 15 for the degradation of these ionically crosslinked 10 materials, the barriers may remain biostable for uncertain periods of time and adversely impact the 20 patient's health. A similar disadvantage exists with respect to the barrier system described in U.S. Patent No. 15 5,266,326 to Barry et al. That patent describes the in situ modification of alginate to form a hydrogel in 25 vivo. Ionically crosslinked polysaccharides such as alginate are not absorbable in humans since no enzyme exists in humans to degrade the β glycosidic linkages. 30 20 Moreover, the high molecular weight of the alginates used (upwards of 200,000 Da) do not allow filtration through the kidneys. The inability to eventually biodegrade the material is considered a major drawback. 35 U.S. Patent No. 4,911,926 to Henry et al. 25 describes aqueous and nonaqueous compositions comprised of block polyoxyalkylene copolymers that form gels in the biologic environment to prevent post-surgical 40 adhesion. Other gel forming compositions have been suggested for use in preventing post-surgical adhesion, 30 including: chitin derivatives (U.S. Patent No. 5,093,319 to Henry et al.); chitosan-coagulum (U.S. 45 Patent No. 4,532,134 to Higham et al.); and hyaluronic acid (U.S. Patent No. 4,141,973 to Balazs).

50

PCT/US99/18522 WO 00/09087

- 7 -5 U.S. Patent No. 4,886,787 to de Belder et al. describes a method of preventing adhesion between body tissues by employing a degradable gel of a crosslinked carboxyl-containing polysaccharide. U.S. Patent No. 10 5 5,246,698 to Leshchiner et al. describes biocompatible viscoelastic gel slurries formed from a hyaluronan or a derivative thereof. The foregoing crosslinked gels are not formed in situ, but rather formed outside the body 15 and then implanted as flowable gels. While covalent 10 crosslinking of these materials may prolong residence time of the barrier within a body cavity, because the barriers are not formed in situ they do not adhere to 20 the tissues within the body cavity and present a risk of migration. Covalently crosslinked hydrogels (or 15 aquagels) have been prepared based on crosslinked 25 polymeric chains of methoxy poly(ethylene glycol) monomethacrylate having variable lengths of the polyoxyethylene side chains. Interaction of such 20 hydrogels with blood components has been studied. See, e.g., Nagaoka, et al., in Polymers as Biomaterial (Shalaby et al., Eds.), Plenum Press, p. 381 (1983). A number of aqueous hydrogels have been used in various biomedical applications, such as, for example, soft 35 25 contact lenses, wound management, and drug delivery. However, methods used in the preparation of these hydrogels, and conversion of these hydrogels to useful 40 articles, are not suitable for forming these materials in situ in contact with living tissues.

U.S. Patent No. 5,462,976 to Matsuda et al. describes photocurable glycosaminoglycan derivatives, crosslinked glycosaminoglycans and the use of such materials for tissue adhesion prevention. These materials, however, require external energy sources for

55

45

- 8 -

5

10

15

20

25

30

35

40

45

transformation.

initiation.

U.S. Patent 5,410,016 to Hubbell et al.

describes free radical polymerizable and biodegradable hydrogels that are formed from water soluble macromers.

The patent describes the prevention of post-surgical adhesions using a local photopolymerization method, which shares the same disadvantage of requiring an external energy source. The patent also describes materials that are polymerizable by other free radical mechanisms, such as thermal or redox types of

Although these latter types of polymerization may be effectively exploited for the formation of regional barriers, only local methods for prevention of adhesion are taught in Hubbell et al. Also, effective concentrations used for the formation of local barriers using the aforementioned materials have been in the 10%-30% macromer concentration range, reflecting the structural integrity required to prevent migration of a locally adherent barrier. Such concentrations of hydrogel are unsuitable for regional barrier formation for several reasons, including:

- The amount of macromer solution required for a regional barrier formation is in the range of 200 ml
 3000 ml. At a 10-30% concentration the macromer would approach its toxicity limits for human use.
- The structural integrity of the hydrogels formed at the foregoing concentrations may result in adverse effects similar to those seen from adhesions
 themselves, for example, due to the mobility restrictions that may result on visceral organs. Thus, formation of regional barriers at such concentrations may lead to postoperative pain and bowel obstructions.

10

15

20

10

15

25

30

35

40

45

50

Since such hydrogels have been observed to have an equilibrium water content in the range of 2-8%, the additional hydration of a large hydrogel mass in the abdominal or pelvic cavity may constrict and deform 5 organs and tissue and thus have adverse effects. See, e.g., Sawhney et al., "Bioerodible hydrogels based on photopolymerized poly(ethylene glycol)-co-poly(α hydroxy acid) diacrylate macromers", Macromolecules, 26:581-587 (1993).

In view of the foregoing, it would be desirable to provide in situ formation of regional barriers by macromer solutions at concentrations close to the equilibrium hydration levels to reduce or prevent post-surgical adhesion formation.

It further would be desirable to provide methods that enable a surgeon to create a regional barrier with little reliance on skill and accuracy of placement, thereby overcoming some of the significant drawbacks of previously known local adhesion prevention 20 barriers.

Summary Of The Invention

In view of the foregoing, it is an object of this invention to provide methods of preventing postsurgical tissue adhesion that overcome the drawbacks of 25 previously known methods while providing the regional benefits obtained from pharmacological approaches.

It is another object of this invention to provide in situ formation of regional barriers by macromer solutions at concentrations close to 30 equilibrium hydration levels, to reduce or prevent post-surgical adhesion formation.

It is a further object of the present invention to provide methods that enable a surgeon to WO 00/09087 PCT/US99/18522

- 10 -

10

5

10

15

20

25

30

35

40

45

50

55

create a regional barrier with little reliance on skill and accuracy of placement, thereby overcoming some of the significant drawbacks of previously known local adhesion prevention barriers.

It is yet another object of this invention to provide methods of delivering drugs or other bioactive molecules to organs within a body cavity using a tissue adherent hydrogel layer that has a predictable residence time.

These and other objects of the present invention are accomplished in accordance with the principles of the present invention by providing methods of using hydrogels to form regional barriers in situ to prevent the formation of post-surgical 15 adhesions. The regional hydrogel layers of the present invention also may be used to deliver drugs or other therapeutic agents to the region of interest, typically a body cavity.

Several methods for the formation of regional 20 adhesion barriers are described, in which any of a variety of water soluble macromeric precursors are used. The term "macromeric precursor" or "macromer" is meant to connote an oligomeric or polymeric molecule that contains functional groups that enable further 25 polymerization. Preferably the functionality of a macromer molecule is >1 so that a crosslinked network or hydrogel results upon polymerization. Hydrogels that resorb or degrade over a period of time are preferred, and more preferably, those that resorb 30 within one or a few months.

In a preferred method, a crosslinked regional barrier is formed in situ, for example, by free radical polymerization initiated by a redox system or thermal initiation, wherein two components of an initiating

WO 00/09087 PCT/US99/18522

- 11 -

5

10

15

20

25

30

35

40

45

50

55

30

system are simultaneously, sequentially or separately instilled in a body cavity to obtain widespread dispersal and coating of all or most visceral organs within that cavity prior to gelation and crosslinking of the regional barrier. Once the barrier is formed, the organs remain isolated from each other for a predetermined period, depending upon the absorption profile of the adhesion barrier material.

Preferably, the barrier does not undergo

10 significant hydration, and is selected to have a low
stress at break in tension or torsion, so as to not
adversely affect normal physiological function of
visceral organs within the region of application. The
barrier also may contain a drug or other therapeutic
15 agent.

Detailed Description Of The Invention

Preferred macromers suitable for practicing the methods of the present invention include water soluble crosslinkable polymeric monomers that have a 20 functionality >1 (i.e., that form crosslinked networks on polymerization) and that form biodegradable hydrogels. The in situ formed hydrogels of the present invention may be crosslinked using several types of initiating systems. Some of these initiating systems require an external energy source, for example, in the form of radiation, focused ultrasound, or other means. Photopolymerization using ultraviolet or visible radiation has been widely used to polymerize free radically crosslinkable materials.

Within an animal or human body, at the sites of localized disease, it is useful to control the polymerization process to reduce or prevent post-surgical adhesion. The location of post-surgical adhesion formation, however, often is not predictable,

PCT/US99/18522 WO 00/09087

- 12 -

10

5

10

15

20

25

30

35

40

45

50

55

and occurs not at the site of iatrogenic intervention. Instead, the location of adhesions depends on many factors, including pre-existing disease, ischemia, etc.

In accordance with the present invention, 5 methods are provided that permit diffuse coating of wide and complicated tissue geometries to form "regional" barriers, by coating essentially all tissues in the region of intervention with an adherent crosslinked hydrogel barrier.

The process of the present invention is conceptually similar to "hydroflotation," which entails filling up a body cavity with a lubricious fluid to float the organs within the cavity in isolation of each other. In hydroflotation, the fluid is invariably 15 rapidly absorbed and cleared, leading promptly to organ

apposition and adhesion formation. In accordance with the principles of the present invention, an in situ formed hydrogel is used to "float" the organs for substantially longer than is 20 possible with hydroflotation methods. Whereas hydroflotation has been associated with fluidic imbalances in the patient resulting from the use of hyperosmolar fluids, the method of the present invention does not rely on osmolality. Instead, it is 25 the crosslinked structure of the hydrogel that prolongs residence of the barrier within the body cavity. Thus, the precursor solutions and the resulting hydrogel barrier may be iso-osmolar with the surrounding physiological fluids, and do not create any fluidic

30 imbalances. For macromers that possess ethylenically unsaturated bonds, regional barriers may be formed for example, by a free radically initiated polymerization. This may be undertaken using chemically (such as a

- 13 -

5

10

15

20

25

30

35

40

45

50

55

redox system) and thermally activated initiating systems. Photopolymerization processes may optionally be used, but such processes typically are better suited for a local polymerization approach as opposed to a regional one. This is so because some tissues and organs may not transmit light of the wavelength being used. Also, photopolymerization generally is restricted to a "spot-by-spot" approach, and is unsuitable when it may be difficult to predict where the adhesions are likely to originate.

Other means for polymerization of macromers to form regional barriers may also be advantageously used with macromers that contain groups that demonstrate activity towards functional groups such as amines, imines, thiols, carboxyls, isocyanates, urethanes, amides, thiocyanates, hydroxyls etc. that may either be naturally present in, on, or around tissue or may be optionally provided in the region as part of the instilled formulation required to effect the barrier.

Materials Suitable for Formation of Regional Barriers

Absorbable polymers, often referred to as biodegradable polymers, have been used clinically in sutures and allied surgical augmentation devices to eliminate the need for a second surgical procedure to remove functionally equivalent non-absorbable devices. See, e.g., U.S. Patent No. 3,991,766 to Schmitt et al. and Encyclopedia of Pharmaceutical Technology (Boylan & Swarbrick, Eds.), Vol. 1, Dekker, New York, p. 465 (1988). Interest in using such absorbable systems, with or without biologically active components, in medical applications has grown significantly over the past few years. Such applications are disclosed in

- 14 -5 Bhatia, et al., J. Biomater. Sci., Polym. Ed., 6(5):435 (1994); U.S. Patent No. 5,198,220 to Damani; U.S. Patent No. 5,171,148 to Wasserman, et. al.; and U.S. Patent No. 3,991,766 to Schmitt et al. 10 Absorbable hydrogels that may be formed and 5 crosslinked in situ to form a network are preferred materials for practicing the current invention. Synthesis and biomedical and pharmaceutical 15 applications of absorbable or biodegradable hydrogels 10 based on covalently crosslinked networks comprising polypeptide or polyester components as the 20 enzymatically or hydrolytically labile components, respectively, have been described by a number of researchers. See, Jarrett et al., "Bioabsorbable 15 Hydrogel Tissue Barrier: In Situ Gelatin Kinetics," 25 Trans. Soc. Biomater., Vol. XVIII, 182 (1995); Sawhney et al., "Bioerodible hydrogels based on photopolymerized poly(ethylene glycol)-co-poly(ahydroxy acid) diacrylate macromers", Macromolecules, 30 20 26:581-587 (1993); Park, et al., Biodegradable Hydrogels for Drug Delivery, Technomic Pub. Co., Lancaster, PA., 1993; Park, "Enzyme-digestible swelling hydrogels as platforms for long-term oral drug 35 delivery: synthesis and characterization," 25 Biomaterials, 9:435-441 (1988). Hydrogels described in the literature include, for example, those made of water-soluble polymers, such as polyvinyl pyrrolidone, which have been crosslinked with naturally derived biodegradable 30 components such as those based on albumin. Totally synthetic hydrogels are based on 45 covalent networks formed by the addition polymerization of acrylic-terminated, water-soluble chains of polyether-poly(α -hydroxyester) block copolymers. These

50

WO 00/09087 PCT/US99/18522

- 15 -

5

10

15

20

25

30

35

40

45

50

55

materials are among those preferred for practicing the present invention because they have been used for in vivo applications and have been demonstrated to be biocompatible. Details of compositions and methods to synthesize such materials have been described in U.S. Patent No. 5,410,016 to Hubbell et al., which is incorporated herein by reference.

Preferred macromers for use in forming regional barriers for prevention of adhesion in accordance with the principles of the present invention include any of a variety of in situ polymerizable macromers that form hydrogel compositions absorbable in vivo. These macromers, for example, may be selected from compositions that are biodegradable,

polymerizable, and substantially water soluble macromers comprising at least one water soluble region, at least one degradable region, and statistically more than 1 polymerizable region on average per macromer chain, wherein the polymerizable regions are separated from each other by at least one degradable region. The individual regions that comprise such macromers are

described in detail below.

Water Soluble Regions

The water soluble region is selected from any
of a variety of natural, synthetic, or hybrid polymers
the group consisting of poly(ethylene glycol),
poly(ethylene oxide), poly(vinyl alcohol), poly(allyl
alcohol), poly(vinylpyrrolidone), poly(ethyleneimine),
poly(allylamine), poly(vinyl amine), poly(aminoacids),
poly(ethyloxazoline), poly(ethylene oxide)-copoly(propyleneoxide) block copolymers, polysaccharides,
carbohydrates, proteins, and combinations thereof.

Random copolymers of monomers that form water soluble polymers also may be used, for example,

- 16 -

5		- 10, -
		copolymers of vinyl amine and allyl alcohol. These
		types of random copolymers are preferred when the
	•	crosslinking reaction is mediated by nucleophilic or
10		electrophilic functional groups. The water soluble
	5	region also may be selected from species that are
	3	capable of being rendered hydrophilic in a post-polymer
		reaction. For example, vinyl esters of carboxylic
15		acids such as vinyl formate, vinyl acetate, vinyl
		monochloroacetate, and vinyl butyrate, may be
	10	copolymerized with the afore-described copolymerizable
		macromolecular monomers. Subsequent to the
20		copolymerization reaction, the polymeric backbone
		(containing repeating monomeric units of these vinyl
		esters of carboxylic acids) may be rendered hydrophilic
0.5	15	by hydrolysis to the resulting polyvinyl alcohol. In
25		other words, the polymeric backbone comprises a
		polyvinyl alcohol.
		Suitable species that may be polymerized and
30	-)(-	used in preparing the hydrophilic polymeric backbone of
	20	the macromers useful in the present invention include:
		acrylic and methacrylic acid;
•		water-soluble monoesters of acrylic
35		and methacrylic acid in which the
		ester moiety contains at least one
	25	hydrophilic group such as a
		hydroxy group, i.e., the hydroxy
40		lower alkyl acrylates and
		methacrylates, typical examples of
		which include:
	30	
45		2-hydroxyethyl methacrylate,
	-	2-hydroxypropyl acrylate,
		2-hydroxypropyl methacrylate,
		3-hydroxypropyl acrylate,

50

- 17 -

5		
		3-hydroxypropyl methacrylate,
•		diethylene glycol
		monomethacrylate,
10		diethylene glycol monoacrylate,
	5	dipropylene glycol
		monomethacrylate, and
		dipropylene glycol monoacrylate;
15		water-soluble vinyl monomers having
		at least one nitrogen atom in the
	10	molecule, examples of which
		include:
20		acrylamide,
		methacrylamide,
		methylolacrylamide,
0.5	15	methylolmethacrylamide,
25		diacetone acrylamide
		N-methylacrylamide,
		N-ethylacrylamide,
30		N-hydroxyethyl acrylamide,
00	20	N, N-disubstituted acrylamides,
		such as N,N-dimethylacrylamide,
		N, N-diethylacrylamide, N-
35		ethylmethylacrylamide, N,N-
		dimethylolacrylamide, and N,N-
	25	dihydroxyethyl acrylamide
		heterocyclic nitrogen containing
40		compounds such as N-pyrrolidone,
		N-vinyl piperidone, N-
		acryloylpyrriolidone, N-
	30	acryloylpiperidine, and N-
45		acryloylmorpholene; and
		cationic functional monomers, for
		example, vinyl pyridene quaternary
		ammonium salts and dimethyl

50

WO 00/09087 PCT/US99/18522

- 18 -

5

10

15

20

25

30

35

40

45

50

55

aminoethyl methacrylate quaternary ammonium salts.

Suitable hydrophobic copolymerizable monomers also may be interpolymerized with hydrophobic 5 copolymerizable macromolecular monomers and the aforementioned hydrophilic copolymerizable comonomers, so long as the ultimate products of biodegradation are water soluble. Hydrophobic species may include the alkyl acrylates and methacrylates, e.g., methylacrylate 10 or methylmethacrylate, ethylacrylate or ethylmethacrylate, propylacrylate or propylmethacrylate, butylacrylate or butylmethacrylate, butylacrylate being preferred. Other suitable hydrophobic copolymerizable comonomers include vinyl 15 chloride, vinylidene chloride, acrylonitrile, methacrylonitrile, vinylidene cyanide, vinyl acetate, vinyl propionate, and vinyl aromatic compounds such as styrene and alpha-methylstyrene, and maleic anhydride.

Degradable Regions

The degradable region is selected from any of 20 a variety of polymers that undergo either hydrolytic, enzymatic, or thermal decomposition by bond scission of linkages so as to produce ultimately soluble and physiologically cleared molecules. Preferable 25 biodegradable polymers, oliogomers or even single moieties can be selected from the group consisting of poly(α-hydroxy acids), poly(lactones), poly(amino acids), peptide sequences, oligonucleotides, poly(saccharides), poly(anhydrides), poly(orthoesters), 30 poly(phosphazenes), and poly(phosphoesters), poly(urethanes), poly(amides), poly(imines), poly(esters), phosphoester linkages and combinations, copolymers, blends, etc. In some cases the water soluble and the degradable region may be one and the

WO 00/09087 PCT/US99/18522

- 19 -

same, for exampl , in the case of proteins and poly(saccharides) that are degraded by naturally existing enzymes within the body.

Polymerizable Regions

5

10

15

20

25

30

35

40

45

50

55

5

15

The polymerizable end groups in these macromers may consist of groups that either react within themselves, with added excipients, or with the surface of tissue to form tissue protective coatings that function as regional barriers. Preferable end 10 groups that mainly react within themselves may be selected from ethyleneically unsaturated functional groups such as acrylate, allyl, vinyl, methacrylate, cinnamate, or other ethylenically unsaturated functional groups.

Polymerizable groups may be selected from nucleophilic groups and their salts that react further, for example, with acylating agents. Useful nucleophilic groups may include primary, secondary, tertiary, or quaternary amino, amide, urethane, urea, 20 hydrazide or thiol groups. These functional groups may be present along the main chain of the water soluble macromer or present only at the end groups. When they are present along the main chain of the macromer, they may be evenly spaced, as in a block copolymer, or they 25 may be randomly spaced.

For example, Shearwater Polymers, Huntsville, AL, sell p-PEGs which contain pendant functional groups. Optionally these groups may be spaced from the polymeric main chain (either at the chain ends or along 30 the backbone) by spacer groups that may contain ester linkages. The preparation of macromers containing amino acid esters of PEG is described, for example, in Zalipsky et al., "Esterification of Polyethylene Glycols," J. Macromol. Sci. Chem., A21:839 (1984). The - 20 -

presence of such linkages can impart desirable properties such as speed of polymerization and predictable instability of the linkage.

Nucleophilic functional group-containing

Nucleophilic functional group contenting

macromers optionally may be mixed with electrophilic
group-containing macromers to rapidly initiate
polymerization. It should be noted that several
nucleophilic and electrophilic functional groups are
naturally present in proteins, polysaccharides,
glycosaminoglycans, and oligonucleotides that
constitute tissue, cells, and organs and thus both
nucleophilic and electrophilic macromers may react with
appropriate naturally occurring functional groups in
the absence of any additional externally added

15 macromers.

For purposes of the present invention, however, reaction rates are more predictable and the resulting hydrogel will have more predictable properties if both components are added externally so as to initiate polymerization and formation of the hydrogel. Electrophilic groups that may be useful to react with the aforementioned nucleophilic groups may include carboxyl groups that may or may not be separated from the polymeric main chain (either at the chain ends or along the backbone) by spacer groups that may contain ester linkages (for example esters of succinic acid, carboxymethyl esters, esters of propionic, adipic, or amino acids), among others.

Other useful groups include isocyanate,

thiocyanate, N-hydroxy succinamide esters such as succinamide as well as succinamide groups that are spaced by groups such as esters or amino acids, among others such as succinimidyl succinates, succinimidyl propionates, succinimidyl succinates, succinimidyl

50

5

10

15

20

25

30

35

40

PCT/US99/18522 WO 00/09087

- 21 -

5

10

15

20

25

30

35

40

45

50

55

esters of carboxymethylated water soluble polymers, benzotriazole carbonates, and any of a variety of carbodiimides also may be selected. PEG succinimidyl succinates, PEG succinimidyl propionates, succinimidyl 5 esters of amono acid or carboxymethylated PEG, and PEG succinamidyl succinamides are particularly suitable as electrophilically active macromers that react with nucleophilic group-containing macromers due to their high reactivity at physiological pH and speed of 10 polymerization.

Other useful electrophilic macromers may contain functional groups such as glycidyl ethers (or epoxides) or hydroxyl group containing polymers that have been activated with 1,1,-carbonyl diimidazole (for 15 example PEG-oxycarbonylimidazole) or p-nitrophenyl chlorocarbonates (e.g., PEG nitrophenyl carbonate), tresylates, aldehydes and isocyanates. Other groups reactive towards nucleophilic moieties may include for example anhydrides.

Thus, for example, a polymer of maleic anhydride when copolymerized with allyl or vinyl group containing water soluble polymers (such that the vinyl or allyl or other ethylenically unsaturated functionality is 1 per molecule or lower) forms a water 25 soluble co-polymer that contains anhydride groups along the backbone. These anhydride groups are reactive towards any of the various nucleophilic groups mentioned hereinabove. Other electrophilic groups, that are more selective towards specific nucleophiles (such as sulfahydryl groups), also may be used, such as vinylsulfone, maleimide, orthopyridyl disulfide or iodoacetamide containing macromers.

It is to be understood that more than one type of electrophilic group or nucleophilic group may

SUBSTITUTE SHEET (RULE 26)

- 22 -

10

20

be present as a part of a macromer chain, so that multiple levels of reactivities may be built into the materials. In fact, both electrophilic and nucleophilic groups may be built into the same molecule 5 and the solution prepared at a pH where the reactivity between these functional groups is low. A second solution that restores the appropriate pH upon mixing then may be added to initiate the crosslinking reaction.

Also, the concentration and number of the functional groups may be varied to obtain different rates of reactivity. The pH of the solutions may be varied to control rates of reaction, and the properties of the resulting crosslinked hydrogel also may be 15 tailored by appropriate selection of the reactive macromers. For example, a higher molecular weight between crosslinks may lead to the formation of a lower modulus and more flexible hydrogel.

Delivery of Bioactive Species

The regional barriers of the present invention further may have bioactive molecules either dissolved or dispersed within them. The dispersed or dissolved drugs may be present as a particulate suspension, that either may or may not further be 25 contained in a secondary containment membrane or coating, microspheres, or microcapsule. The materials for such secondary coating and containment also may be selected from any of a variety of biodegradable natural or synthetic hydrophobic materials that provide 30 resistance to diffusion of small molecules, especially water soluble small molecules.

The biologically active molecules may include proteins (including growth factors and enzymes that may demonstrate bioactivity), carbohydrates, nucleic acids

5

10

15

20

25

30

35

40

45

(both sense and antisense as well as gene fragments for gene therapy), organic molecules, inorganic biologically active molecules, cells, tissues, and tissue aggregates. Biologically active molecules may include any of the beneficial drugs as are known in the art, and described, for example, in Pharmaceutical Sciences, by Remington, 14th Ed., 1979, published by Mack Publishing Co.; The Drug, The Nurse, The Patient, Including Current Drug Handbook, by Falconer et al., 1974-1976, published by Saunder Company; and Medicinal Chemistry, 3rd Ed., Vol. 1 and 2, by Burger, published by Wiley-Interscience Co.
The drugs selected may serve to act against

an underlying pathological condition that is suspected
15 to contribute to the formation of adhesions, such as drugs that interfere with the polymerization of fibrin, serve as anticoagulants (such as heparin, hirudin, etc.) or act to dissolve fibrin clots or disrupt the native fibrinogen (such as tissue plasminogen
20 activator, urokinase, streptokinase, streptodornase, ancrod, etc). Drugs having an antiinflammatory effect may be used, such as medroxyprogestrone acetate, which has been observed to reduce postoperative adhesion formation in animal studies. Other antiinflammatory
25 compounds such as antibodies to IL-6, IL-1, TNF-α, and TGF-β have demonstrated efficacy as well.

Preferably, the drugs are directed to a process unique to adhesion formation, and which does not disrupt normal healing. For example,

30 pentoxifylline, a drug used to treat intermittent claudication, and calcium channel blockers, such as verapamil, have been shown to reduce postoperative adhesion formation. It is thus expected that the delivery of one or more therapeutic compounds in a

5

10

15

20

25

30

35

40

45

50

- 24 -

hydrogel-based regional barrier capable of controlled release may further enhance the prevention of postoperative adhesions. Thus, drugs that may be advantageously delivered using the regional barrier of 5 the present invention include antiinflammatory compounds, antifibrinolytics, targeted modulators that interfere with the pathways of adhesion formation, such as IL-10 and antibodies to various cytokines, and immunomodulators.

Drugs delivered by the regional barrier also may serve to supplement the overall therapeutic regimen for the particular patient by delivering a drug or a combination of drugs that address another disease state. For example, physiologically active materials 15 or medicinal drugs, such as agents affecting the central nervous system, antiallergic agents, cardiovascular agents, agents affecting respiratory organs, agents affecting digestive organs, hormone preparations, agents affecting metabolism, antitumor 20 agents, antibiotic preparations, chemotherapeutics, antimicrobials, local anesthetics, antihistaminics, antiphlogistics, astringents, vitamins, antifungal agents, peripheral nervous anesthetics, vasodilators, crude drug essences, tinctures, crude drug powders, 25 immunosuppressants, hypotensive agents, and the like may be delivered.

Drugs that are delivered using the regional barriers of the present invention may include both water soluble as well as partially water soluble or 30 even lipophilic drugs. The drugs may be small molecules or macromolecular in nature. Particular water-soluble polypeptides which may be used in this invention are, for example, oxytocin, vasopressin, tissue plasminogen activator, urokinase, and other

10

15

20

25

30

35

40

45

50

fibrinolytic enzymes, adrenocorticotrophic hormone
(ACTH), epidermal growth factor (EGF), transforming
growth factor antagonists, prolactin, luliberin or
luteinizing hormone releasing hormone (LH-RH), LH-RH
sagonists or antagonists, growth hormone, growth hormone
releasing factor, insulin, somatostatin, bombesin
antagonists, glucagon, interferon, gastrin,
tetragastrin, pentagastrin, urogastrone, secretin,
calcitonin, enkephalins, endomorphins, angiotensins,
renin, bradykinin, bacitracins, polymyzins, colistins,
tyrocidin, gramicidines, and synthetic analogues and
modifications and pharmaceutically-active fragments
thereof, monoclonal antibodies and soluble vaccines.

The water-soluble drugs that may be delivered

15 by this method are not specifically limited. Examples
include peptides having biological activities, other
antibiotics, antitumor agents, antipyretics,
analgesics, anti-inflammatory agents, antitussive
expectorants, sedatives, muscle relaxants,

20 antiepileptic agents, antiulcer agents, antidepressants, antiallergic agents, cardiotonics, antiarrhythmic agents, vasodilators, hypotensive diuretics, antidiabetic agents, anticoagulants, hemostatics, antituberculous agents, hormone
25 preparations, parcotic antagonists, bone resorption

25 preparations, narcotic antagonists, bone resorption inhibitors, angiogenesis inhibitors and the like.

Examples of antitumor agents include

bleomycin hydrochloride, methotrexate, actinomycin D,
mitomycin C, vinblastine sulfate, vincristine sulfate,

daunorubicin hydrochloride, adriamycin,
neocarzinoszatin, cytosine arabinoside, fluorouracil,
tetrahydrofuryl-5-fluorouracil krestin, picibanil,
lentinan, levamisole, bestatin, azimexon, glycyrrhizin,
poly I:C, poly A:U, poly ICLC, cisplatin and the like.

WO 00/09087 PCT/US99/18522

- 26 -

The terms "cytokine" and "growth factor" are used to describe biologically active molecules and active peptides (which may be either naturally occurring or synthetic) that aid in healing or regrowth 5 of normal tissue, including growth factors and active peptides. The function of cytokines is two-fold: (1) to incite local cells to produce new collagen or tissue, or (2) to attract cells to a site in need of correction. For example, one may incorporate cytokines 10 such as interferons (IFN), tumor necrosis factors (TNF), interleukins, colony stimulating factors (CSFs), or growth factors such as osteogenic factor extract (OFE), epidermal growth factor (EGF), transforming growth factor (TGF) alpha, TGF-β (including any 15 combination of TGF-βs), TGF-β1, TGF-β2, platelet derived growth factor (PDGF-AA, PDGF-AB, PDGF-BB), acidic fibroblast growth factor (FGF), basic FGF, connective tissue activating peptides (CTAP), β thromboglobulin, insulin-like growth factors, 20 erythropoietin (EPO), nerve growth factor (NGF), bone morphogenic protein (BMP), osteogenic factors, and the like.

Suitable biologically-active agents for use in the present invention also include oxygen radical scavenging agents such as superoxide dismutase or anti-inflammatory agents such as hydrocortisone, prednisone and the like; antibacterial agents such as penicillin, cephalosporins, bacitracin and the like; antiparasitic agents such as quinacrine, chloroquine and the like; antifungal agents such as nystatin, gentamicin, and the like; antiviral agents such as acyclovir, ribavirin, interferons and the like; antineoplastic agents such as methotrexate, 5-fluorouracil, adriamycin, taxol, taxotere, tumor-specific antibodies conjugated to

5

10

15

20

25

30

35

40

45

- 27 -

5

10

15

20

25

30

35

40

45

50

55

toxins, tumor necrosis factor, and the like; analgesic agents such as salicylic acid, acetaminophen, ibuprofen, flurbiprofen, morphine and the like; local anesthetics such as lidocaine, bupivacaine, benzocaine 5 and the like; vaccines such as hepatitis, influenza, measles, rubella, tetanus, polio, rabies and the like; central nervous system agents such as a tranquilizer, β -adrenergic blocking agent, dopamine and the like; growth factors such as colony stimulating factor, 10 platelet-derived growth factors, fibroblast growth factor, transforming growth factor B, human growth hormone, bone morphogenetic protein, insulin-like growth factor and the like; hormones such as progesterone, follicle stimulating hormone, insulin, 15 somatotropins and the like; antihistamines such as diphenhydramine, chlorphencramine and the like; cardiovascular agents such as digitalis, nitroglycerine, papaverine, streptokinase and the like; vasodilators such as theophylline, niacin, minoxidil, 20 and the like; and other like substances. The regional hydrogel barriers also may be used to delivery antitumor, antineoplastic, or anticancer agents to the body cavity, wherein multiple tumor sites exist and it may not be possible to 25 accurately identify all sites of disease. Physical and Mechanical Characteristics of Materials Suitable for Formation of Regional Barriers

Materials suitable for use in forming the regional barriers in accordance with the present invention preferably have certain physical and mechanical attributes. These include safety, effectiveness at adhesion prevention, absorbability, non-inflammatoriness, compatibility with laparoscopic use, ease of use, efficacy at sites distant to surgery,

WO 00/09087 PCT/US99/18522

- 28 -

15

lack of interference with normal healing, suitability as a pharmaceutical carrier, and conformity to tissue. While no adhesion barrier material may possess all of these properties, the materials described hereinabove 5 satisfy many of these criteria.

In addition to the foregoing criteria, crosslinked materials suitable for use as regional tissue adherent adhesion barriers or drug delivery systems in accordance with the present invention should 10 exhibit the following characteristics: (1) the materials should not obstruct the normal functioning of internal organs; and (2) these materials should not cause a substantial hydraulic imbalance after instillation and polymerization.

The first requirement ensures that, despite the extensive regional presence of the barrier throughout a body cavity, it will not impede normal tissue movement. Thus, even though the hydrogel barrier is crosslinked, it should not have the 20 structural strength to adhere or bind organs together tenaciously. It is instead preferable that the barrier have weak cohesive strength and fail within the bulk of the material, rather than constrict organs to which it is applied. Desirable materials are expected to have 25 stress at shear or tensile loading failure of less than 1 MPa. More preferably, the stress at failure should be between less than 300 KPa, and more preferably, less than 100 KPa.

The regional barriers need not form bulk 30 hydrogels, but may form coatings on tissue upon instillation that may be thin and of the order of 1-1000 microns in thickness. In fact, the coating even may be formed as a surface modification of the tissue by instillation of macromers that have a reactivity to

50

5

10

15

20

25

30

35

40

5 functional groups found on the surface of the tissues at risk for formation of adhesions. The instillation of the precursor solutions may be simultaneous or sequential, with a first solution coating tissue for 10 5 some period of time and the subsequent solution being administered just prior to completion of the surgical procedure and closure of the surgical access points or 15 incision. The quantity of water contained within a 10 hydrogel may be evaluated as "% Water Content," defined as: 20 (Wet Hydrogel - Dry Hydrogel) % Water Content = 100 * Wet Hydrogel 15 where: 25 Wet Hydrogel - the weight of wet hydrogel; and Dry Hydrogel - the weight of dry hydrogel. Hydrogels continue to absorb water from 20 surrounding aqueous fluids until they reach an 30 equilibrium level of hydration. During this process the addition increase in water content can be determined by measuring the % Hydration, which is 35 defined as: (Wt. Hydrogel_{Eq} - Wt. Hydrogel_F) 25 % Hydration = 100 * _ Wt. Hydrogel, 40 where: the weight of hydrogel at Wt. Hydrogel equilibrium; and 30 the weight of hydrogel at Wt. Hydrogel, -45

50

55

SUBSTITUTE SHEET (RULE 26)

formation.

WO 00/09087 PCT/US99/18522

- 30 -

The requirement that the barrier material not create a hydraulic imbalance in situ arises from the relatively large volumes of such materials that are needed to form regional barriers as opposed to local barriers. It is expected, for example, that a typical use of regional barrier material in accordance with the present invention will involve the instillation of precursor materials in excess of 200 ml, possibly in excess of 500 ml, and in some cases, even as high as 3000 ml. Due to such relatively large volumes of instillates, it is important that the resulting regional barrier be relatively isotonic and near equilibrium hydration, i.e. it will not continue to absorb fluid from within the body cavity and induce fluid imbalance in the patient.

Similarly, the materials used to form the regional barriers of the present invention also should be close to the equilibrium level of hydration. Thus, the barrier will not appreciably increase in size by hydrating substantially after formation and thus will not impose undesirable mechanical obstructions within the body cavity. Accordingly, materials that hydrate less than 100% beyond their own weight in physiological aqueous solutions, at time of formation, are preferred.

More preferable are materials that hydrate less than 50% of their own weight, and more preferably, materials that hydrate less than 20% beyond their initial weight at time of formation.

It is to be understood, based upon the
foregoing discussion, that materials suitable for
practicing the present invention should have many of
the other beneficial properties expected of adhesion
barrier materials, such as not eliciting an
inflammatory response. If the barrier material

PCT/US99/18522 WO 00/09087

- 31 -

generates a significant inflammation, it may enhance the formation of adhesions, rather than reducing or eliminating them. For example talc, which is considered to be an inflammatory material, is often 5 used to create adhesions within the chest cavity by a regional instillation.

The hydrogel barriers formed in accordance with the methods of the present invention preferably are absorbed over time by natural physiological 10 processes, so that the organs within the region of interest ultimately return to their original conformations. Absorption of the barrier material is defined herein as a lack of physical evidence of presence of the barrier at the application site. 15 Preferably, the regional barriers of the present invention should absorb within 6 months, more preferably within 2 months, and most preferably within

Free radical Initiating Systems

1 month.

20

Many previously known chemical systems that use free radical polymerization do not depend on external energy sources such as photoexcitation. systems advantageously may be used at physiological conditions of temperature and do not create 25 physiologically toxic effects at the concentrations used. For example, Roland et al., "Recent Developments in Free-Radical Polymerization-A Mini Review," Progress in Organic Coatings, 21:227-254 (1992), presents an overview of the free radical polymerization process, 30 with an emphasis on recent developments.

U.S. Patent No. 4,511,478 to Nowinski et al. describes several types of oxidation-reduction initiators, including: (1) peroxides in combination

50

5

10

15

20

25

30

35

40

- 32 **-**

5

10

15

20

25

30

35

40

45

50

with a reducing agent, e.g., hydrogen peroxide with ferrous ion or other transition metal ions, or benzoyl peroxide with an N,N-dialkylaniline or toluidine, and (2) persulfates in combination with a reducing agent, such as sodium metabisulfite or sodium thioslfate.

Specifically, ammonium persulfate, benzoyl peroxide, lauryl peroxide, tert-butyl hydroperoxide, tert-butyl perbenzoate, cumene hydroperoxide, dibenzoyl peroxide, tert-butyl peroctoate, tert-butyl peracetate, di-tert-amyl peroxide, di-tert-butyl peroxide, tert-amyl perpivalate, butyl per-2-ethyl-hexanoate, tert-butyl perpivalate, tert-butyl perneodecanoate, tert-butyl perisononanoate, tert-amylperneodecanoate, di-2-ethyl-hexyl peroxydicarbonate, dicyclohexyl peroxydicarbonate, cumyl perneodecanoate, tert-butyl

peroxydicarbonate, cumyl perneodecanoate, tert-butyl permaleate, 1,3-bis-(t-butylperoxyisopropyl)benzene, succinic acid peroxide, bis(1-hydroxycyclohexyl)-peroxide, isopropyl percarbonate, methyl ethyl ketone peroxide, and dicumyl peroxide, potassium ferricyanide,

20 potassium permanganate, ceric sulfate, pinane hydroperoxide, di-isopropylbenzene hydroperoxide and other oxidizing compounds including combinations thereof with reducing agents, such as transition metal ions, sodium hyposulfite, sodium metabisulfite, sodium sulfide, sodium thiosulfate, hydrazine hydrate, sodium bisulfite or sodium thiosulfate, may be used. Sodium bisulfite alone may be used for polymerization.

Other initiators suitable for use in accordance with the methods of the present invention include, but are not limited to azo initiators. Preferred thermally active free radical polymerization initiators for use in the present invention may include, but are not limited to, diazodiisobutyrodinitrile, 2,2'-azobis-

5

10

15

20

25

30

35

40

45

50

(isobutyronitrile), 2,2'-azobis(2,4-dimethylvaleronitrile), 2,2'-azobis(cyclohexanenitrile), 2,2'-azobis-(2-methylbutyronitrile), 2,2'-azobis(2,4-dimethyl 4methoxyvaleronitrile), mixtures thereof and several like azo initiators such as those sold by Wako Chemical Co., Richmond, VA. Mixtures of two or more initiators also may be used, if desired.

Another group of catalysts, useful mainly for low temperature polymerization, include redox systems

10 such as potassium persulfate-riboflavin, potassium persulfate-sodium bisulfite. Various compounds such as N,N,N',N-tetramethylethylenediamine and dimethyl toluidine may be used to accelerate the effect of the catalysts. Other suitable catalyst(s) and

15 accelerant(s) may be used to catalyze the polymerization.

Inhibitors of Free Radical Polymerization
Free radical-inhibitors are generally used in
the production, transportation and/or storage of
systems that are reactive via free radicals to
definitely exclude that the system will undergo
premature reaction. With respect to the foregoing
polymerizable materials, the addition of numerous
compounds and/or systems that function as free radicalinhibitors are known, including, for example, hydrides
such as lithium aluminum hydride, calcium hydride or
sodium borohydride.

Further known examples serving this purpose are phenols, phenol derivatives, hydroquinone and hydroquinone derivatives or, especially, phenothiazine. As typical examples there may be mentioned cumene, hydroquinone, 2,6-di-tert-butyl-p-cresol, BHT, BHA, anisole, 2,6-di-tert-butyl-4-methoxyphenol, bis(2-hydroxy-3-tert-butyl-5-methylphenyl)methane, bis(3,5-

- 34 -

di-tert-butyl-4-hydroxyphenyl)methane, bis(2-hydroxy-3tert-butyl-5-methylphenyl)sulfide, bis(3-tert-butyl-4hydroxy-5-methyl-phenyl) sulfide, or also amines such as diphenylamine, N,N'-diphenyl-p-phenylene diamine, 2-10 5 phenylbenzimidazole, aniline, dinitrobenzene, 2-nitroα-naphthol, tetraphenylethylene, triphenylmethane and

vitamin E.

Methods of Instillation

In accordance with the methods of the present 10 invention, macromer solutions used in forming regional barriers may be instilled by pouring, spraying (e.g., using two or more spray nozzles that simultaneously spray more than one solution into the region of interest), or by devices such as infusion catheters 15 (e.g., dual lumen catheters or nozzles with mixing tips), funnel like devices, syringes, or bellows like devices with either dual chambers with a distal mixing tip, which is optionally attached, or with two separate devices that are either simultaneously or sequentially 20 employed, etc.

The solutions may be selected so as to have active ingredients separated in two or more solutions that enable the polymerization upon mixing or on contact. Thus, for example, elements of a redox 25 initiating system may be present in separate macromer solutions that either may be used simultaneously, sequentially or separately after an intervening interval of time to effect polymerization. In order to provide control of hydrogel formation, the barriers of 30 the present invention may also include colored indicator substances such as phenol red (0.04-0.008%), thymol blue (0.04-0.1%), furoxone (0.02-0.4%), rivanol (0.45-0.75%) or picric acid (0.01-0.03%); or antibiotics such as tetracycline (0.7-0.17%),

SUBSTITUTE SHEET (RULE 26)

55

50

5

15

20

25

30

35

40

- 35 -

5

mithramycin (0.1-0.4%), or chlortetracycline (0.1-0.4%). (All percentages are w/v.)

10

5 t y o

15

20

25

30

35

40

45

50

As a result, a color change, such as a green color, will be observed after mixing or penetration of these colored substances (e.g., one is blue, other is yellow). The color changes also may be usefully observed as a result of pH change when two macromeric solution streams that are instilled into the body cavity are mixed, such macromeric solutions being selected such that the crosslinking reaction only

occurs when an appropriate pH is reached, which is indicated by the presence of the pH sensitive colorimetric indicator.

Colored species also may be generated as part

of the in situ reaction process. For example, the use
of p-nitrophenyl activated PEG as a crosslinking
molecule with a poly(amine) such as poly(ethyleneimine)
will result in the generation of a yellow color due to
the formation of p-nitrophenol as a reaction byproduct.

20 This attribute of color appearance may be used to monitor successful deployment of the regional adhesion barrier.

The macromer solutions will typically be used at the end of the particular surgical procedure but may also be used during or even before undertaking the particular surgical procedure so as to serve as tissue protectants during the surgical procedure by hydrating and lubricating such tissues during the surgery. If thermal initiating systems are used, premature polymerization may be prevented by maintaining the solutions at low temperature so that polymerization

occurs when physiological temperatures are attained upon instillation.

- 36 -

5

EXAMPLES

Example 1

10

15

20

25

30

35

40

45

50

55

A macromer is synthesized as described in U.S. Patent 5,410,016 to Hubbell et al. The macromer may be an acrylated copolymer of poly(ethylene glycol) (M.W. 20,000) and dl-lactide (3-5 equivalents). The material is dissolved in water to form a solution that is 5% w/w, and the solution is divided into two parts. To part A is added enough hydrogen peroxide to give a 150 ppm concentration of H₂O₂. To part B is added enough of a ferrous gluconate salt to achieve a concentration of 3000 ppm. It may be verified that on mixing approximately equal parts of these two solutions, a flexible hydrogel is formed within 10 seconds of pouring into a mold, in the absence of activation by any external energy source.

Example 2

To assess the efficacy of the regional adhesion barrier of Example 1, the following experiment may be

20 conducted. Twelve Sprague Dawley male rats having an average weight of 250 g are divided into two groups of 6 for treatment and control, respectively. The abdomen is shaved and prepared with a betadine solution. A midline incision is made under anesthesia. The cecum is located

25 and 4 to 5 scrapes made on a region about 2 x 1 cm on one side of the cecum, using a 4 x 4 in gauze pad to produce serosal injury and punctuate bleeding. Other abdominal organs also may be allowed to desiccate for 10 minutes during this period. The abdominal incisions in these

30 animals are closed using a continuous 4-0 silk suture for the musculoperitoneal layer and 7.5 mm stainless steel staples for the cutaneous layer. A topical antibiotic

SUBSTITUTE SHEET (RULE 26)

5

10

15

20

25

30

35

40

45

then is applied at the incision site.

as controls without treatment, to confirm the validity of the model. The second group of 6 animals serves as a treatment with the application of the regional barrier. Approximately 5 cc of solution A described in Example 1 is applied to the injury site and over all the abdominal organs using a pipet. Care should be taken to ensure complete application to all organs. The muscular layer of the abdominal incision then is closed as above until the final suture tie is ready to be placed. At this time 5 cc of solution B from Example 1 above is instilled into the abdominal cavity. The walls of the abdominal cavity should be briefly massaged to ensure dispersal of the solutions and the closure of the abdominal and skin layers completed.

Three of the 6 animals in each group are sacrificed at the end of two days and 3 of the remaining animals in each group are sacrificed at the end of two 20 weeks by ${\rm CO}_2$ asphyxiation. The incisions are reopened and gross observations recorded. If adhesions are present, they should be scored for location, extent, and tenacity. The extent of adhesions should be reported as a percentage of the traumatized area of the cecum which 25 forms adhesions with adnexal organs or the peritoneal wall. Tenacity of the adhesions is scored on a scale from 0 to 4: no adhesions - grade 0; tentative transparent adhesions which frequently separate on their own - grade 1; adhesions that give some resistance but 30 can be separated by hand - grade 2; adhesions that require blunt instrument dissection to separate - grade 3; and dense thick adhesions which require sharp instrument dissection in the plane of the adhesion to separate - grade 4. It is expected that in the presence

- 38 -

of th regional adhesion barrier, significant reduction in the extent of adhesion formation will occur.

Modifications and variations of the present

5 invention, the macromers and polymeric compositions and
methods of use thereof, will be obvious to those skilled
in the art from the foregoing detailed description.
Accordingly, various changes and modifications may be
made therein without departing from the invention, and
10 the appended claims are intended to cover all such
changes and modifications that fall within the true
spirit and scope of the invention.

SUBSTITUTE SHEET (RULE 26)

Claims

10

15

20

25

30

35

40

45

- 39 -

What	Te	Claimed I	S

 A method of forming a regional barrier to reduce adhesion of tissue to internal structures in a body cavity following surgery:

providing a pharmaceutically acceptable
hydrogel system comprising first and second components;
instilling the first component within the body
cavity to coat the internal structures;

instilling the second component within the body cavity to coat the internal structures; and

polymerizing at least the first component in situ to form a tissue adherent hydrogel that coats the internal structures to reduce adhesion of tissue to the internal structures.

- 2. The method of claim 1 wherein polymerizing at least the first component comprises mixing the first and second components.
- 3. The method of claim 1 wherein instilling the first and second components comprises instilling the first and second components simultaneously.
- 4. The method of claim 1 wherein instilling the first and second components comprises instilling the first and second components sequentially.
- 5. The method of claim 4 wherein instilling the first component protects the internal structures during surgery and instilling the second component is performed upon completion of surgery.

50

SUBSTITUTE SHEET (RULE 26)

- 40 -

5

10

15

20

25

30

35

40

50

45

55

6. The method of claim 1 wherein providing a pharmaceutically acceptable hydrogel system comprises providing a first component having at least one water soluble region, at least one degradable region, and at least one polymerizable region.

- 7. The method of claim 2 wherein each of the first and second components includes a polymerizable region, and crosslinking the first and second components comprises polymerizing the first and second components so that polymerizable regions of the first and second components react.
- 8. The method of claim 2 wherein polymerizing at least the first component comprises polymerizing the first component by a mechanism selected from a group consisting of: a free radical mechanism, and an electrophilic-neutrophilic mechanism.
- 9. The method of claim 1 wherein polymerizing at least the first component comprises polymerizing the first component to form a tissue adherent hydrogel at a substantially equilibrium hydration level.
- 10. The method of claim 1 wherein polymerizing at least the first component comprises polymerizing the first component to form a tissue adherent hydrogel that is substantially isotonic.
- 11. The method of claim 1 wherein polymerizing at least the first component comprises polymerizing the first component to form a tissue adherent hydrogel having a tensile strength less than 1 MPa.

- 41 -

12. The method of claim 1 further comprising biodegrading the tissue adherent hydrogel within a predetermined period of time.

13. The method of claim 12 wherein biodegrading the tissue adherent hydrogel within a predetermined period of time comprises biodegrading the tissue adherent hydrogel within one month.

14. The method of claim 1 wherein providing a pharmaceutically acceptable hydrogel system comprises providing a pharmaceutically acceptable hydrogel system wherein at least one of the first and second components contains a bioactive molecule that provides a therapeutic benefit.

pharmaceutically acceptable hydrogel system wherein at least one of the first and second components contains a bioactive molecule comprises providing a pharmaceutically acceptable hydrogel system wherein at least one of the first and second components contains a drug selected from the group consisting of small molecules, macromolecules, proteins, peptides, oligonucleotides, carbohydrates and proteoglycans.

16. The method of claim 14 wherein providing a pharmaceutically acceptable hydrogel system wherein at least one of the first and second components contains a bioactive molecule comprises providing a pharmaceutically acceptable hydrogel system wherein at least one of the first and second components contains a drug selected from the group consisting of drugs that interfere with the process of adhesion formation and drugs that are used to

50

55

10

15

20

25

30

35

40

- 42 -

5

treat inflammation, cancer and endometriosis.

10

17. The method of claim 1 wherein the first component contains a color indicator, the method further comprising changing the color indicator responsive to a degree of mixing of the first and second components.

15

18. A method of delivering bioactive molecules to internal structures in a body cavity following surgery:

20

providing a pharmaceutically acceptable hydrogel system comprising first and second components, at least one of the first and second components containing a bioactive molecule that provides a therapeutic benefit;

25

instilling the first component within the body cavity to coat the internal structures;

instilling the second component within the body cavity to coat the internal structures; and

30

polymerizing at least the first component in situ to form a tissue adherent hydrogel that coats the internal structures.

35

19. The method of claim 18 wherein polymerizing at least the first component comprises mixing the first and second components.

40

20. The method of claim 18 wherein instilling the first and second components comprises instilling the first and second components simultaneously.

45

21. The method of claim 18 wherein instilling the first and second components comprises instilling the first and second components sequentially.

- 43 -

22. The method of claim 21 wherein instilling the first component protects the internal structures during surgery and instilling the second component is performed upon completion of surgery.

- 23. The method of claim 18 wherein providing a pharmaceutically acceptable hydrogel system comprises providing a first component including at least one water soluble region, at least one degradable region, and at least one polymerizable region.
- 24. The method of claim 23 wherein each of the first and second components includes a polymerizable region, and polymerizing the first and second components comprises polymerizing the first and second components so that polymerizable regions of the first and second components interact.
- 25. The method of claim 18 wherein polymerizing at least the first component comprises polymerizing the first component by a mechanism selected from the group consisting of: a free radical mechanism, and an electrophilic-neutrophilic mechanism.
- 26. The method of claim 18 wherein polymerizing at least the first component comprises polymerizing the first component to form a tissue adherent hydrogel at a substantially equilibrium hydration level.
- 27. The method of claim 18 wherein polymerizing at least the first component comprises polymerizing the first component to form a tissue adherent hydrogel that is substantially isotonic.

50

55

5

10

15

20

25

30

35

40

45

SUBSTITUTE SHEET (RULE 26)

- 44 -

28. The method of claim 18 wherein polymerizing at least the first component comprises polymerizing the first component to form a tissue adherent hydrogel having a tensile strength less than 1 MPa.

29. The method of claim 18 further comprising biodegrading the tissue adherent hydrogel within a predetermined period of time.

30. The method of claim 18 wherein the first component contains a color indicator, the method further comprising changing the color indicator responsive to a degree of mixing of the first and second components.

INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/18522

A. CLASSIFICATION OF SUBJECT MATTER				
IPC(6) :A61K 9/00				
US CL :424/484 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols)				
U.S. : 424/484				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category* Citation of document, with indication, where appropriate, of	the relevant passages Relevant to claim No.			
A US 5,140,016 A (GOLDBERG et al) 18 A	august 1992, entire 1-30			
document.				
· ·				
	.			
	·			
	·			
·				
Further documents are listed in the continuation of Box C. See patent family annex.				
4	ther document published after the international filing date or priority are and not in conflict with the application but cited to understand			
A, quantum quality and a series of the att which is not considered for the set of the se	ne principle or theory underlying the invention			
B exclier document published on or after the international filing data "X" di	ocument of particular relevance; the claimed invention cannot be outsidered novel or cannot be considered to involve an inventive step			
cited to establish the publication date of another citation or other	then the document is taken elone ocurrent of perticular rolevance; the claimed invention cannot be			
C C	omidered to involve an inventive step when the document is ombigned with one or more other such documents, such combination			
темь	eing obvious to a person skilled in the art			
"P" document published prior to the international filing data but later than d the priority data claimed	occursent member of the same patent family			
1	ailing of the international search report			
02 NOVEMBER 1999 22 NOV 1999				
Name and mailing address of the ISA/US Authorized	l officer			
Commissioner of Patents and Trademarks Box PCT Edward J. Webman				
Washington, D.C. 20231 Facsimile No. (703) 305-3230 Telephone	No. (703) 308-0196			

Form PCT/ISA/210 (second sheet)(July 1992)*